

To:	TRUST BO	ARD				
From:					Trust I	Board Paper I
Date: 5 MAY 2011						
Healthcare Outcome 16 – Assessi			g and			
standard: Monitoring the Quality			•			
	Provision					
Title:	UHL STRATEG	IC RISK RE	GISTER AN	THE BOA	RD ASSI	JRANCE
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Author/Res	ponsible Directo	or:				
Risk and Ass	surance Manage	r/ Medical Di	irector			
Purpose of						
To provide the	ne Board with a p	orogress repo	ort for the de	velopment o	f the 201	1/12 strategic
	egister and Board			BAF).		
The Report	is provided to t	he Board fo	r:			
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UNIVERSITY HOSPITALS OF LEICESTER NHS TRUST

REPORT TO: TRUST BOARD

DATE: 5 MAY 2011

REPORT BY: MEDICAL DIRECTOR

SUBJECT: UHL STRATEGIC RISK REGISTER AND THE BOARD

ASSURANCE FRAMEWORK (SRR/BAF) 2011/12

1. INTRODUCTION

- 1.1 It has been recognised that as the 2010/11 SRR/BAF has developed it has perhaps become too detailed and might obscure real risks facing the Trust. It is natural as the SRR/BAF matures it can be expected to vary in style, format and reporting frequency to suit the needs of the Trust (whilst meeting the minimum criteria laid down by the Dept of Health). The development of new strategic objectives in line with the Trust's 'Good to Great' strategy and the development of key risks /actions in the creation of the Integrated Business Plan (IBP) risk chapter provide an opportunity for review of the SRR/BAF.
- 1.2 The Trust's strategic risks for 2011/12 have been identified and agreed by the Executive Team drawing upon the 2010/11 SRR/BAF and also the 2011/12 business planning process. These risks have been assessed to identify their consequences, risk scores and any mitigating actions required, culminating in a review and agreement by the Board on 7 April 2011.

2. ASSURANCE FRAMEWORK 2010/11: POSITION AS OF 30 APRIL 2011

- 2.1 The Trust's Risk and Assurance Manager is currently developing the 2011/12 SRR/BAF using the template designed by the Director of Finance and Procurement. This work is in two phases as described below:
 - a) Linking of current strategic risks to the agreed UHL objectives. the objectives are:
 - Internationally recognised specialist services supported by R&D
 - Nationally recognised teaching, clinical and support services
 - To be the regional hospital of choice for planned care
 - To be the centre of a local acute emergency network
 - b) Developing the Board Assurance Framework. This work will require significant input from the Executive Directors to understand the assurance sources and any gaps in control.

This work is scheduled to be completed by the end of June with the intention of providing a SRR/BAF report to the Board in July.

- 3. The Trust Board is invited to:
 - a) Receive and note this report
 - b) Confirm the frequency of SRR/BAF reporting for 2011/12

P Cleaver Risk and Assurance Manager 22 April 2011